## EXECUTIVE SUMMARY - ENFORCEMENT MATTER

**DOCKET NO.:** 2007-0899-AIR-E **TCEQ ID:** RN105096317 **CASE NO.:** 33649

RESPONDENT NAME: Clint West dba West Contracting

ORDER TYPE:		·		
X_1660 AGREED ORDER	FINDINGS AGREED ORDER	FINDINGS ORDER FOLLOWING SOAH HEARING		
FINDINGS DEFAULT ORDER	_SHUTDOWN ORDER	IMMINENT AND SUBSTANTIAL ENDANGERMENT ORDER		
AMENDED ORDER	_EMERGENCY ORDER			
CASE TYPE:				
<u>X</u> AIR	MULTI-MEDIA (check all that apply)	INDUSTRIAL AND HAZARDOUS WASTE		
PUBLIC WATER SUPPLY	PETROLEUM STORAGE TANKS	OCCUPATIONAL CERTIFICATION		
WATER QUALITY	SEWAGE SLUDGE	UNDERGROUND INJECTION CONTROL		
MUNICIPAL SOLID WASTE	RADIOACTIVE WASTE	DRY CLEANER REGISTRATION		
TYPE OF OPERATION: Abrasive blasting and surface coating  SMALL BUSINESS:X_YesNo  OTHER SIGNIFICANT MATTERS: A complaint was received on March 20, 2007, alleging that the complainant's vehicle, while parked in her employer's parking lot, was over sprayed by paint from West Contracting. No emissions or odors were detected, but the investigator documented dry abrasive blasting activity without a permit. There is no record of additional pending enforcement actions regarding this facility location.  INTERESTED PARTIES: A complaint was received, but the complainant has not expressed a desire to protest this action or to speak at Agenda.  COMMENTS RECEIVED: The Texas Register comment period expired on November 12, 2007. No comments were received.  CONTACTS AND MAILING LIST:  TCEQ Attorney/SEP Coordinator: None TCEQ Enforcement Coordinator: Mr. Daniel Siringi, Enforcement Division, Enforcement Team 5, MC R-10, (409) 899-8799; Mr. Bryan Sinclair, Enforcement Division, MC 219, (512) 239-2171  Respondent: Mr. Clint West, Owner, West Contracting, 7315 Highway 124, Beaumont, Texas 77705  Respondent's Attorney: Not represented by counsel on this enforcement matter				

## RESPONDENT NAME: Clint West dba West Contracting

**DOCKET NO.:** 2007-0899-AIR-E

VIOLATION SUMMARY CHART:					
VIOLATION INFORMATION	PENALTY CONSIDERATIONS	CORRECTIVE ACTIONS TAKEN/REQUIRED HOLD Y			
Type of Investigation:  X Complaint  Routine  Enforcement Follow-up  Records Review  Date(s) of Complaints Relating to this Case: March 20, 2007  Date of Investigation Relating to this Case: March 20-28, 2007  Date of NOV/NOE Relating to this Case: May 23, 2007 (NOE)  Background Facts: This was a complaint investigation. One violation was documented.  AIR  Failed to obtain a permit prior to operation of a facility which may emit air contaminants. Specifically, on March 21 and 28, 2007 it was documented that the Respondent was conducting outdoor abrasive blasting and surface coating without a permit [30 Tex. ADMIN. CODE § 116.110(a) and Texas Health & Safety CODE § 382.085(b)].	Total Assessed: \$1,050  Total Deferred: \$210	Ordering Provisions:  The Order will require the Respondent to:  a. Within 30 days after the effective date of this Agreed Order, submit an administratively complete permit application or Form PI-7 for the abrasive cleaning and surface coating operations;  b. Respond completely and adequately, as determined by the TCEQ, to all requests for information concerning the permit application within 30 days after the date of such requests, or by any other deadline specified in writing; and  c. Within 180 days after the effective date of this Agreed Order, submit written certification that either authorization to construct and operate a source of air emissions has been obtained or that the operation has ceased until such time that appropriate authorization is obtained. The certification shall include detailed supporting documentation including receipts and/or other records to demonstrate compliance.			
		Additional ID No(s) · N/A			

Policy Revision 2 (Sept	· · · · · · · · · · · · · · · · · · ·	ation Worksheet (F		ion May 25, 2007
	28-May-2007   Screening 4-Jun-2	2007 <b>EPA Due</b>		
RESPONDENT/FACILITY Respondent Reg. Ent. Ref. No. Facility/Site Region	Clint West dba West Contracting RN105096317	Major/Minor Source	ce Minor	
CASE INFORMATION Enf./Case ID No. Docket No. Media Program(s) Multi-Media Admin. Penalty \$ L	2007-0899-AIR-E Air	EC's Tea		
TOTAL BASE PENAL	Penalty Cal TY (Sum of violation base pe	culation Section	Subtotal 1	\$1,000
ADJUSTMENTS (+/-)	TO SUBTOTAL 1 led by multiplying the Total Base Penalty (Subto	tal 1) by the indicated percentage.  5% Enhancement Sum enhancement due to one NOV for	btotals 2, 3, & 7	\$50
Culpability  Notes	No The Respondent does not m	0% Enhancement eet the culpability criteria.	Subtotal 4	\$0
Good Faith Effort Extraordinary Ordinary N/A Notes	Before NOV NOV to EDPRP/Settlement  x (mark with x)  The Respondent does not make the complex of		Subtotal 5	\$0
Approx. (	Total EB Amounts \$114 Cost of Compliance \$3,200	0% Enhancement* *Capped at the Total EB \$ Amount	Subtotal 6	\$0
SUM OF SUBTOTAL	S 1-7		Final Subtotal	\$1,050
	S JUSTICE MAY REQUIRE ubtotal by the indicated percentage. (Enter num	ber only; e.g30 for -30%.)	Adjustment	\$0
			Penalty Amount	\$1,050 \$1,050
STATUTORY LIMIT A	DJUSTMENT		sessed Penalty	\$1,050 -\$210
DEFERRAL Reduces the Final Assessed Pen Notes	alty by the indicted percentage. (Enter number  Deferral offered for ex		Adjustment	-9210
PAYABLE PENALTY				\$840

Screening Date 4-Jun-2007 Docket No. 2007-0899-AIR-E PCW Respondent Clint West dba West Contracting Policy Revision 2 (September 2002) **Case ID No.** 33649 PCW Revision May 25, 2007 Reg. Ent. Reference No. RN105096317 Media [Statute] Air Enf. Coordinator Daniel Siringi **Compliance History Worksheet** >> Compliance History Site Enhancement (Subtotal 2) Component Number of... Enter Number Here Adjust. Written NOVs with same or similar violations as those in the current enforcement action 5% NOVs (number of NOVs meeting criteria) Other written NOVs 0% 0 Any agreed final enforcement orders containing a denial of liability (number of orders 0 . 0% meeting criteria) Orders Any adjudicated final enforcement orders, agreed final enforcement orders without a denial of liability, or default orders of this state or the federal government, or any final prohibitory 0 0% emergency orders issued by the commission Any non-adjudicated final court judgments or consent decrees containing a denial of liability of this state or the federal government (number of judgements or consent decrees meeting 0 0% Judgments and Consent Any adjudicated final court judgments and default judgments, or non-adjudicated final court Decrees judgments or consent decrees without a denial of liability, of this state or the federal O 0% government Any criminal convictions of this state or the federal government (number of counts) 0% Convictions 0 **Emissions** Chronic excessive emissions events (number of events) 0 0% Letters notifying the executive director of an intended audit conducted under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 (number of 0% 0,,,,,,, audits for which notices were submitted) Audits Disclosures of violations under the Texas Environmental, Health, and Safety Audit Privilege 0% Act, 74th Legislature, 1995 (number of audits for which violations were disclosed) Please Enter Yes or No Environmental management systems in place for one year or more No 0% Voluntary on-site compliance assessments conducted by the executive director under No 0% special assistance program Other Participation in a voluntary pollution reduction program No 0% Early compliance with, or offer of a product that meets future state or federal government Ńο 0% environmental requirements Adjustment Percentage (Subtotal 2) 5% >> Repeat Violator (Subtotal 3) N/A Adjustment Percentage (Subtotal 3) 0% >> Compliance History Person Classification (Subtotal 7) N/A Adjustment Percentage (Subtotal 7) >> Compliance History Summary Compliance The Respondent received a penalty enhancement due to one NOV for the same violation in the past five

years.

Total Adjustment Percentage (Subtotals 2, 3, & 7)

History

Notes

Screening Date		2.10.10.5 (a.c.) v.0.4 (d. br. v.) was existed an example and	<b>No.</b> 2007-0899-AIR-E	PCW
	Clint West dba West Cont	tracting	9	Policy Revision 2 (September 2002
Case ID No.				PCW Revision May 25, 200
Reg. Ent. Reference No. Media [Statute]				
Enf. Coordinator				
Violation Number				
Rule Cite(s)	- I			
itale olo(o)	50 Tex. Admin. Code §		Health and Safety Code § 3	
Violation Description	Specifically, during an inv	vestigation on March 21	cility which may emit air co I and 28, 2007 it was docun I blasting and surface coatir	nented that
			Ва	se Penalty \$10,00
>> Environmental, Property a	and Human Health Ma	atrix	SECTION OF THE PROPERTY OF THE	
	Harm			
Release OR Actual		Minor		
Potential	I		Percent 0%	
>>Programmatic Matrix	Major Madarata	Minor		
Falsification	Major Moderate	Minor	Percent 10%	1
	JI		£	
NA-A-i-				
Matrix Notes	100% of th	ne rule requirement was	s not met.	
			Adjustment	\$9,000
				\$1,00
		v.		\$1,00
Violation Events				
Number of Vi	olation Events 1	76	Number of violation d	lays
	daily			
	monthly			<u></u>
mark only one	quarterly x		Violation Ba	ase Penalty \$1,00
with an x	semiannual			
	single event			
	~	and the grant of the control of the		
	опе quarterly (	event from investigation	n to screening.	
Economic Benefit (EB) for th	iis violation		Statutory Limit T	est
Ectimata	ed EB Amount	\$114	Violation Final Po	enalty Total \$1,05
Lountate				•
		This violation Final A	Assessed Penalty (adjuste	d for limits) \$1,05

	Е	conomic E	Benefit W	/orks	heet		
Respondent C		West Contracting					
Case ID No. 3						4 1 1 1 1 1 2 2 1 1	
Reg. Ent. Reference No. R	RN105096317						
Media A	dr.					Percent Interest	Years of
Violation No. 1					,	reitein mieresi	Depreciation
						5.0	15
	Item Cost	Date Required	Final Date	Yrs	Interest Saved	Onetime Costs	EB Amount
Item Description							
Delayed Costs  Equipment		) <del>(</del>		0.0	\$0	\$0	\$0
Buildings				0.0	\$0 \$0	\$0 \$0	φυ \$0
Other (as needed)				0.0	\$0 \$0	\$0	\$0
Engineering/construction				0.0	\$0	\$0	\$0
Land	18 19 19 19 19 19 19 19 19 19 19 19 19 19			0.0	\$0	n/a	\$0
Record Keeping System				0.0	\$0	n/a	\$0
Training/Sampling				0,0	\$0	n/a	\$0
Remediation/Disposal				0.0	\$0	n/a	\$0
Permit Costs	\$3,200	21-Mar-2007	7-Dec-2007	0.7	\$114	n/a	\$114
Other (as needed)	ΨΟ,ΖΟΟ	21 Wat 2007	1 DCG EGG)	0.0	\$0	n/a	\$0
Notes for DELAYED costs	the o	ated based on admin late of investigation	and the final dat	e is the d	ate compliance is	expected to be achi	eved.
Avoided Costs	ANN	IUALIZE [1] avoided	i costs before e				
Disposal				0.0	\$0	\$0	\$0
Personnel				0.0	\$0	\$0	\$0
Inspection/Reporting/Sampling			X5080-000	0.0	\$0	\$0	\$0
Supplies/equipment				0,0	\$0	\$0	\$0
Financial Assurance [2]				0.0	\$0	\$0	\$0
ONE-TIME avoided costs [3]				0.0	\$0	\$0	\$0
Other (as needed)		<u>                                     </u>		0.0	\$0	\$0	\$0
Notes for AVOIDED costs						900 (1 1000) 900 (1 1000)	
<u>                                     </u>							

# **Compliance History**

Rating: Site Rating:

Custo	mer/Respondent/Owner-Operator:	CN603116492	CLINT	WEST		Classification:
Regul	ated Entity:	RN105096317	WEST	CONTRACTING		Classification:
ID Nui Locati	mber(s): on:	Non-permitted 7315 HWY 124, E		NT, TX, 77705		
TCEQ	Region:	REGION 10 - BE	AUMONT			
	Compliance History Prepared:	June 07, 2007				
Ageno	by Decision Requiring Compliance History	: Enforcement				
	liance Period:	June 07, 2002 to	June 07,	2007		
TCEQ	Staff Member to Contact for Additional In	nformation Regarding	this Com	pliance History		
Name	: Daniel Siringi	Ph	one:	(409) 899-8799		
		Site C	omnlian	ce History Com	nonents	
1 Has	s the site been in existence and/or operat		=	-	Yes	
	s there been a (known) change in owners				No	
3. If Y	es, who is the current owner?				N/A	
4. if Y	es, who was/were the prior owner(s)?				N/A	
5. WI	nen did the change(s) in ownership occur	?			N/A	
Com	ponents (Multimedia) for the Site :					
A.	Final Enforcement Orders, court judgen	nents, and consent de	crees of t	he state of Texas a	nd the fede	eral government.
	N/A					
В.	Any criminal convictions of the state of N/A	Texas and the federal	governm	ent.		
C.	Chronic excessive emissions events.					
	N/A					
D.	The approval dates of investigations. (C	CEDS Inv. Track. No	.)			
	N/A					
E.	Written notices of violations (NOV). (CC	EDS Inv. Track. No.)				
	Date: 01/05/2007 (51	9019)				
	5C THC Description: Failure to	Chapter 116, SubCha Chapter 382, SubCha o obtain a permit prior	pter D 38 to opera	6.110(a) 32.085(b) tion of a facility whic		Moderate t air
F.	Environmental audits.  N/A	nants into the air of thi	s state. Iv	10D (2)(C), EIC A2	.a	
G.	Type of environmental management sy	stems (EMSs).				
-	N/A					
Н.	Voluntary on-site compliance assessme	ent dates.				
	N/A					
1.	Participation in a voluntary pollution redu	uction program.				
	N/A					
J.	Early compliance.					
	N/A					

Sites Outside of Texas N/A

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## TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



IN THE MATTER OF AN	§	BEFORE THE
ENFORCEMENT ACTION	§	
CONCERNING	§	TEXAS COMMISSION ON
CLINT WEST DBA WEST	§	
CONTRACTING	§	ENVIRONMENTAL QUALITY
RN105096317	Ü	

#### AGREED ORDER DOCKET NO. 2007-0899-AIR-E

#### I. JURISDICTION AND STIPULATIONS

At its \_\_\_\_\_\_ agenda, the Texas Commission on Environmental Quality ("the Commission" or "TCEQ") considered this agreement of the parties, resolving an enforcement action regarding Clint West dba West Contracting ("Mr. West") under the authority of TEX. HEALTH & SAFETY CODE ch. 382 and TEX. WATER CODE ch. 7. The Executive Director of the TCEQ, through the Enforcement Division, and Mr. West appear before the Commission and together stipulate that:

- 1. Mr. West owns and operates an abrasive blasting and surface coating facility at 7315 Highway 124 in Beaumont, Jefferson County, Texas (the "Plant").
- 2. The Plant consists of one or more sources as defined in TEX. HEALTH & SAFETY CODE § 382.003(12).
- 3. The Commission and Mr. West agree that the Commission has jurisdiction to enter this Agreed Order, and that Mr. West is subject to the Commission's jurisdiction.
- 4. Mr. West received notice of the violations alleged in Section II ("Allegations") on or about May 28, 2007.
- 5. The occurrence of any violation is in dispute and the entry of this Agreed Order shall not constitute an admission by Mr. West of any violation alleged in Section II ("Allegations"), nor of any statute or rule.
- 6. An administrative penalty in the amount of One Thousand Fifty Dollars (\$1,050) is assessed by the Commission in settlement of the violations alleged in Section II ("Allegations"). Mr. West has paid Eight Hundred Forty Dollars (\$840) of the administrative penalty and Two Hundred Ten Dollars (\$210) is deferred contingent upon Mr. West's timely and satisfactory compliance with all the terms of this Agreed Order. The deferred amount will be waived upon full compliance with the terms of this Agreed Order. If Mr. West fails to timely and satisfactorily comply with all requirements of this Agreed Order, the Executive Director may require Mr. West to pay all or part of the deferred penalty.



- 7. Any notice and procedures, which might otherwise be authorized or required in this action, are waived in the interest of a more timely resolution of the matter.
- 8. The Executive Director of the TCEQ and Mr. West have agreed on a settlement of the matters alleged in this enforcement action, subject to the approval of the Commission.
- 9. The Executive Director may, without further notice or hearing, refer this matter to the Office of the Attorney General of the State of Texas ("OAG") for further enforcement proceedings if the Executive Director determines that Mr. West has not complied with one or more of the terms or conditions in this Agreed Order.
- 10. This Agreed Order shall terminate five years from its effective date or upon compliance with all the terms and conditions set forth in this Agreed Order, whichever is later.
- 11. The provisions of this Agreed Order are deemed severable and, if a court of competent jurisdiction or other appropriate authority deems any provision of this Agreed Order unenforceable, the remaining provisions shall be valid and enforceable.

#### II. ALLEGATIONS

As owner and operator of the Facility, Mr. West is alleged to have failed to obtain a permit prior to operation of a facility which may emit air contaminants, in violation of 30 Tex. ADMIN. CODE § 116.110(a) and Texas Health & Safety Code § 382.085(b), as documented during an investigation conducted on March 20-28, 2007. Specifically, on March 21 and 28, 2007 it was documented that Mr. West was conducting outdoor abrasive blasting and surface coating without a permit.

#### III. DENIALS

Mr. West generally denies each allegation in Section II ("Allegations").

#### IV. ORDERING PROVISIONS

1. It is, therefore, ordered by the TCEQ that Mr. West pay an administrative penalty as set forth in Section I, Paragraph 6 above. The payment of this administrative penalty and Mr. West's compliance with all the terms and conditions set forth in this Agreed Order resolve only the allegations in Section II. The Commission shall not be constrained in any manner from requiring corrective action or penalties for violations, which are not raised here. Administrative penalty payments shall be made payable to "TCEQ" and shall be sent with the notation "Re: Clint West dba West Contracting, Docket No. 2007-0899-AIR-E" to:

Financial Administration Division, Revenues Section Attention: Cashier's Office, MC 214 Texas Commission on Environmental Quality P.O. Box 13088 Austin, Texas 78711-3088

2. It is further ordered that Mr. West shall undertake the following technical requirements:

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a. Within 30 days after the effective date of this Agreed Order, submit an administratively complete permit application or Form PI-7 for the abrasive cleaning and surface coating operations, in accordance with 30 Tex. ADMIN. CODE § 116.110(a) to:

Air Permits Division, MC 162 Texas Commission on Environmental Quality P.O. Box 13087 Austin, Texas 78711-3087

- b. Respond completely and adequately, as determined by the TCEQ, to all requests for information concerning the permit application within 30 days after the date of such requests, or by any other deadline specified in writing; and
- c. Within 180 days after the effective date of this Agreed Order, submit written certification that either authorization to construct and operate a source of air emissions has been obtained or that the operation has ceased until such time that appropriate authorization is obtained. The certification shall include detailed supporting documentation including receipts and/or other records to demonstrate compliance, be notarized by a State of Texas Notary Public, and include the following certification language:

"I certify under penalty of law that I have personally examined and am familiar with the information submitted and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."

The certification shall be submitted to:

Order Compliance Team
Enforcement Division, MC 149A
Texas Commission on Environmental Quality
P.O. Box 13087
Austin, Texas 78711-3087

with a copy to:

Air Section, Manager Beaumont Regional Office Texas Commission on Environmental Quality 3870 Eastex Freeway Beaumont, Texas 77703-1892

3. The provisions of this Agreed Order shall apply to and be binding upon Mr. West. Mr. West is ordered to give notice of the Agreed Order to personnel who maintain day-to-day control over the Facility operations referenced in this Agreed Order.

- 4. If Mr. West fails to comply with any of the Ordering Provisions in this Agreed Order within the prescribed schedules, and that failure is caused solely by an act of God, war, strike, riot, or other catastrophe, Mr. West's failure to comply is not a violation of this Agreed Order. Mr. West shall have the burden of establishing to the Executive Director's satisfaction that such an event has occurred. Mr. West shall notify the Executive Director within seven days after Mr. West becomes aware of a delaying event and shall take all reasonable measures to mitigate and minimize any delay.
- 5. The Executive Director may grant an extension of any deadline in this Agreed Order or in any plan, report, or other document submitted pursuant to this Agreed Order, upon a written and substantiated showing of good cause. All requests for extensions by Mr. West shall be made in writing to the Executive Director. Extensions are not effective until Mr. West receives written approval from the Executive Director. The determination of what constitutes good cause rests solely with the Executive Director.
- 6. This Agreed Order, issued by the Commission, shall not be admissible against Mr. West in a civil proceeding, unless the proceeding is brought by the OAG to: (1) enforce the terms of this Agreed Order; or (2) pursue violations of a statute within the Commission's jurisdiction, or of a rule adopted or an order or permit issued by the Commission under such a statute.
- 7. This agreement may be executed in multiple counterparts, which together shall constitute a single original instrument. Any executed signature page to this Agreement may be transmitted by facsimile transmission to the other parties, which shall constitute an original signature for all purposes.
- 8. Under 30 Tex. ADMIN. CODE § 70.10(b), the effective date is the date of hand-delivery of the Order to Clint, or three days after the date on which the Commission mails notice of the Order to Mr. West, whichever is earlier. The Chief Clerk shall provide a copy of this Agreed Order to each of the parties.

# SIGNATURE PAGE

### TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

For the Commission	
To the Commission	
For the Executive Director	3/21/2008
For the Executive Director	Date
attached Agreed Order on behalf of the	estand the attached Agreed Order. I am authorized to agree to the entity indicated below my signature, and I do agree to the terms of the acknowledge that the TCEQ, in accepting payment for the such representation.
<ul> <li>timely pay the penalty amount, may res</li> <li>A negative impact on compliance</li> <li>Greater scrutiny of any permit at</li> <li>Referral of this case to the Attorney fees, or</li> <li>Increased penalties in any future</li> <li>Automatic referral to the Attornet</li> <li>TCEQ seeking other relief as at</li> </ul>	ce history; pplications submitted; orney General's Office for contempt, injunctive relief, additional or to a collection agency; e enforcement actions; ey General's Office of any future enforcement actions; and
Chit Unif Signature	J-23-07 Date
Name (Printed or typed)	
Authorized Representative of	THE
Clint West dba West Contracting	

**Instructions**: Send the original, signed Agreed Order with penalty payment to the Financial Administration Division, Revenues Section at the address in Section IV, Paragraph 1 of this Agreed Order.

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